

RoB 2.0: A revised tool to assess risk of bias in randomized trials

Matthew Page

University of Bristol, UK

With special thanks to Julian Higgins, Jelena Savović, Asbjørn Hróbjartsson, Isabelle Boutron, Barney Reeves, Roy Elbers, Jonathan Sterne

- Reminder of the Cochrane risk of bias tool for randomized trials
- The need for a new tool
- Development of the new tool
- Key innovations to the tool
- Some excerpts from the tool
- Some unresolved issues

RESEARCH METHODS & REPORTING

The Cochrane Collaboration's tool for assessing risk of bias in randomised trials

Julian P T Higgins,¹ Douglas G Altman,² Peter C Gøtzsche,³ Peter Jüni,⁴ David Moher,^{5,6} Andrew D Oxman,⁷ Jelena Savović,⁸ Kenneth F Schulz,⁹ Laura Weeks,⁹ Jonathan A C Sterne,⁸ Cochrane Bias Methods Group
Cochrane Statistical Methods Group

Flaws in the design, conduct, analysis, and reporting of randomised trials can cause the effect of an intervention to be underestimated or overestimated. The Cochrane Collaboration's tool for assessing risk of bias aims to make the process clearer and more accurate.

Flaws without producing a score).^{4,7} Until recently, Cochrane reviews used a variety of these tools, mainly checklists.⁸ In 2005 the Cochrane Collaboration's methods groups embarked on a new strategy for assessing the quality of randomised trials. In this paper we describe the collaboration's new risk of bias assessment tool, and the process by which it was developed and evaluated.

Development of risk assessment tool

In May 2005, 16 statisticians, epidemiologists, and review authors attended a three day meeting to develop the new tool. Before the meeting, JPTH and DGA compiled an extensive list of potential sources of bias in clinical trials. The items on the list were divided into seven areas: generation of the allocation sequence; concealment of the allocation sequence; blinding; attrition and exclusions; other generic sources of bias; biases specific to the trial design (such as crossover or cluster randomised trials); and biases that might be specific to a clinical speciality. For each of the seven areas, a nominated meeting participant prepared a review of the empirical evidence, a discussion of specific issues and uncertainties, and a proposed set of criteria for assessing protection from bias as adequate, inadequate, or unclear, supported by examples.

During the meeting decisions were made by informal consensus regarding items that were truly potential biases rather than sources of heterogeneity or imprecision. Potential biases were then divided into domains, and strategies for their assessment were agreed, again by informal consensus, leading to the creation of a new tool for assessing potential for bias. Meeting participants also discussed how to summarise assessments across domains, how to illustrate assessments, and how to incorporate assessments into analyses and conclusions. Minutes of the meeting were transcribed from an audio recording in conjunction with written notes.

After the meeting, pairs of authors developed detailed criteria for each included item in the tool and guidance for assessing the potential for bias. Documents were shared and feedback requested from the whole working group (including six who could not attend the meeting). Several email iterations took place, which also incorporated feedback from presentations of the proposed guidance at various meetings and workshops within the Cochrane Collaboration and from

Randomised trials, and systematic reviews of such trials, provide the most reliable evidence about the effects of healthcare interventions. Provided that there are enough participants, randomisation should ensure that participants in the intervention and comparison groups are similar with respect to both known and unknown prognostic factors. Differences in outcomes of interest between the different groups can then in principle be ascribed to the causal effect of the intervention.¹

Causal inferences from randomised trials can, however, be undermined by flaws in design, conduct, analyses, and reporting, leading to underestimation or overestimation of the true intervention effect (bias).² However, it is usually impossible to know the extent to which biases have affected the results of a particular trial.

Systematic reviews aim to collate and synthesise all studies that meet prespecified eligibility criteria³ using methods that attempt to minimise bias. To obtain reliable conclusions, review authors must carefully consider the potential limitations of the included studies. The notion of study "quality" is not well defined but relates to the extent to which its design, conduct, analysis, and presentation were appropriate to answer its research question. Many tools for assessing the quality of randomised trials are available, including scales (which score the trials) and checklists (which assess tri-

SUMMARY POINTS

Systematic reviews should carefully consider the potential limitations of the studies included

The Cochrane Collaboration has developed a new tool for assessing risk of bias in randomised trials

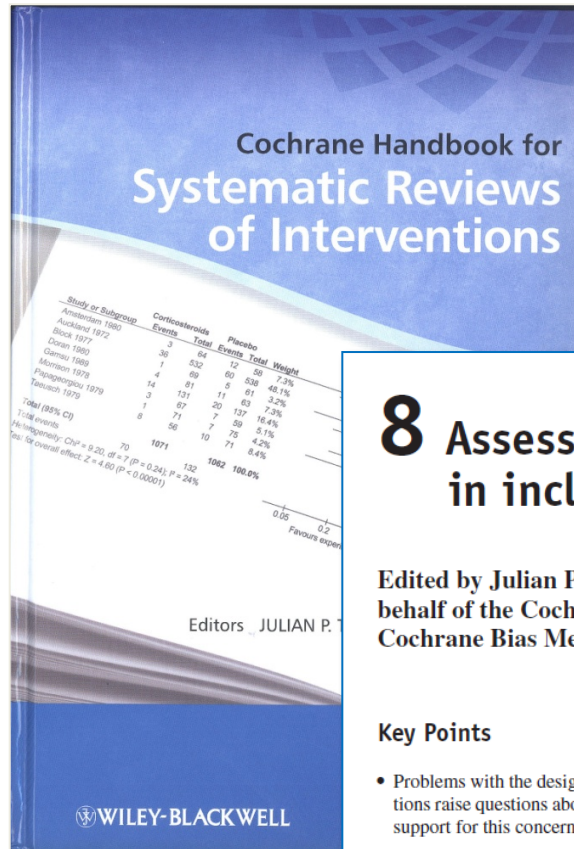
The tool separates a judgment about risk of bias from a description of the support for that judgment, for a series of items covering different domains of bias

8 Assessing risk of bias in included studies

Edited by Julian PT Higgins and Douglas G Altman on behalf of the Cochrane Statistical Methods Group and the Cochrane Bias Methods Group

Key Points

- Problems with the design and execution of individual studies of healthcare interventions raise questions about the validity of their findings; empirical evidence provides support for this concern.
- An assessment of the validity of studies included in a Cochrane review should emphasize the risk of bias in their results, i.e. the risk that they will overestimate or underestimate the true intervention effect.
- Numerous tools are available for assessing methodological quality of clinical trials. We recommend against the use of scales yielding a summary score.
- The Cochrane Collaboration recommends a specific tool for assessing risk of bias in each included study. This comprises a description and a judgement for each entry in a 'Risk of bias' table, where each entry addresses a specific feature of the study. The judgement for each entry involves answering a question, with answers 'Yes' indicating low risk of bias, 'No' indicating high risk of bias, and 'Unclear' indicating either lack of information or uncertainty over the potential for bias.



Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Subjects were randomised in blocks of six to one of the two treatment groups using sequentially numbered, sealed opaque envelopes." Comment: sequence generation not reported.
Allocation concealment (selection bias)	Low risk	Quote: "Subjects were randomised in blocks of six to one of the two treatment groups using sequentially numbered, sealed, opaque envelopes." Comment: allocation process adequate.
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: "Because the study was not blinded, secondary absorbent dressing and peri ulcer treatments used were at the discretion of the investigator." Comment: stated as not being blinded.
Blinding of outcome assessment (detection bias) All outcomes	High risk	Quote: "Because the study was not blinded, secondary absorbent dressing and peri ulcer treatments used were at the discretion of the investigator." Comment: stated as not being blinded.
Incomplete outcome data (attrition bias) All outcomes	High risk	Comment: numbers withdrawing and reasons reported by group (Group 1: 14/60 (23%); Group 2: 5/58 (9%)) but a higher proportion of participants withdrew from Group 2 and analysis not undertaken as ITT.
Selective reporting (reporting bias)	Unclear risk	Comment: although all trial outcomes described in the published report are in the supplied RCT protocol, it was unclear from the published report what the primary outcomes were (maceration in the protocol). A secondary outcome of 'ability to adapt' in the protocol (translated from Danish) is not identifiable in the published report.

- Six sources of bias (with optional ‘Other’)
- For each source,
 - Free text to describe what happened
 - Judgement: **Low risk / Unclear risk / High risk of bias**
- Some sources of bias can be repeated for different endpoints

-
- Cochrane RoB tool is very widely used (Jørgensen 2016)
 - 100 out of 100 Cochrane reviews from 2014 (100%)
 - 31 out of 81 non-Cochrane review (38%)
 - >2700 citations from non-Cochrane sources
 - The scientific debate on risk of bias has continued
 - Evaluation studies of the tool
 - User experience: survey and focus groups (Savovic 2014)
 - Inter-agreement studies (e.g. Hartling 2009 & 2013)
 - Actual use in reviews and published comments (Jørgensen 2016)

- Used **simplistically**
- Used **inconsistently** (domains added or removed)
- Modest **agreement** rates
- Only 5-10% of trials in Cochrane reviews are scored as Low risk of bias
 - **overuse of “unclear risk”?**
- RoB judgements are **difficult** for some domains, particularly incomplete outcome data and selective reporting
- Challenges with **unblinded trials**
- Not well suited to **cross-over trials or cluster-randomized trials**
- Not well set up to assess **overall risk of bias**

- The revised tool for randomized trials (**RoB 2.0**) was supported by the UK **Medical Research Council** Network of Hubs for Trials Methodology Research (MR/L004933/1- N61)

- Revision of the RoB tool started in May 2015
- 1st Development meeting held in Bristol in August 2015
- 1st ‘working draft’ of the tool completed January 2016
- Piloting phase Feb – March 2016
- Revised ‘working draft’
- 2nd Development meeting held in Bristol on 21-22 April 2016
- Development of further guidance and piloting
- Released for Seoul Colloquium

- Core group:
 - **Julian Higgins, Jelena Savović, Matthew Page, Asbjørn Hróbjartsson, Isabelle Boutron, Barney Reeves, Roy Elbers, Jonathan Sterne**
- Working Group members:
 - **Doug Altman, Natalie Blencowe, Mike Campbell, Christopher Cates, Rachel Churchill, Mark Corbett, Nicky Cullum, Francois Curtin, Amy Drahota, Sandra Eldridge, Jonathan Emberson, Bruno Giraudeau, Jeremy Grimshaw, Sharea Ijaz, Sally Hopewell, Asbjørn Hróbjartsson, Peter Jüni, Jamie Kirkham, Toby Lasserson, Tianjing Li, Stephen Senn, Sasha Shepperd, Ian Shrier, Nandi Siegfried, Lesley Stewart, Penny Whiting**
- And: **Henning Keinke Andersen, Mike Clarke, Jon Deeks, Geraldine MacDonald, Richard Morris, Mona Nasser, Nishith Patel, Jani Ruotsalainen, Holger Schünemann, Jayne Tierney**

Key innovations

- **Result-focussed** assessments
- Fixed (inclusive) bias domains, **not modifiable**
- “**Signalling questions**” to facilitate risk of bias judgements
- New **response options** for risk of bias, without ‘Unclear’ option
- Formal **overall** risk of bias judgement

- Some rethinking of the assessment:
 - Important distinction between **effects of interest**
 - Selective reporting focuses on **reported result**

RoB 1.0	RoB 2.0
Random sequence generation <i>(selection bias)</i>	Bias arising from the randomization process
Allocation concealment <i>(selection bias)</i>	
Blinding of participants and personnel <i>(performance bias)</i>	Bias due to deviations from intended
Incomplete outcome data <i>(attrition bias)</i>	
Blinding of outcome assessment <i>(detection bias)</i>	
Selective reporting <i>(reporting bias)</i>	Bias in selection of the reported result
Other bias	N/A
N/A	Overall bias

Funding and vested interests to be addressed, but not within this part of the wider framework
Working group led by Asbjørn Hróbjartsson and Isabelle Boutron

Signalling questions and judgements

- Signalling questions are introduced to make the tool easier (and more transparent)
 - ‘Yes’, ‘Probably yes’, ‘Probably no’, ‘No’, ‘No information’
- Risk of bias judgements follow from answers to signalling questions (can be over-ridden)
 - ‘Low risk of bias’, ‘Some concerns’, ‘High risk of bias’
- A change in the interpretation of the judgements, so that a ‘High risk of bias’ judgement in one domain puts the whole study at high risk of bias
- Overall risk of bias judgement can then be completed automatically (can be over-ridden)

Overall risk of bias judgement

Low risk of bias	The study is judged to be at low risk of bias for all domains for this result.
Some concerns	The study is judged to be at some concerns in at least one domain for this result.
High risk of bias	The study is judged to be at high risk of bias in at least one domain for this result. OR The study is judged to have some concerns for multiple domains in a way that substantially lowers confidence in the result.

Risk of bias tools

▼ ROBINS-I tool

[Read more](#)

[Resources](#)

[The team](#)

[Feedback](#)

RoB 2.0 tool

[Welcome](#) >

RoB 2.0 tool

A revised tool to assess risk of bias in randomized trials (RoB 2.0)

Welcome to the website for the RoB 2.0 tool. This is a **draft version** of the tool. We have developed versions for three different trial designs.

Individually randomized, parallel group trials

You can:

- Download [background information and detailed guidance for using the RoB 2.0 tool \(pdf\)](#).
- Download [the tool itself \(pdf\)](#)
- Download a blank template for completing the tool, which has two variants
 - Implement [RoB 2.0 when interest is in the effect of assignment to intervention \(Word\)](#)
 - Implement [RoB 2.0 when the interest is in the effect of starting and adhering to intervention \(Word\)](#).

Cluster randomized, parallel group trials

Some excerpts from the tool

The RoB 2.0 tool (individually randomized, parallel group trials)

Study design

- Randomized parallel group trial
- Cluster-randomized trial
- Randomized cross-over or other matched design

Specify which outcome is being assessed for risk of bias

Specify the numerical result being assessed. In case of multiple alternative analyses being presented, specify the numeric result (e.g. RR = 1.52 (95% CI 0.83 to 2.77) and/or a reference (e.g. to a table, figure or paragraph) that uniquely defines the result being assessed.

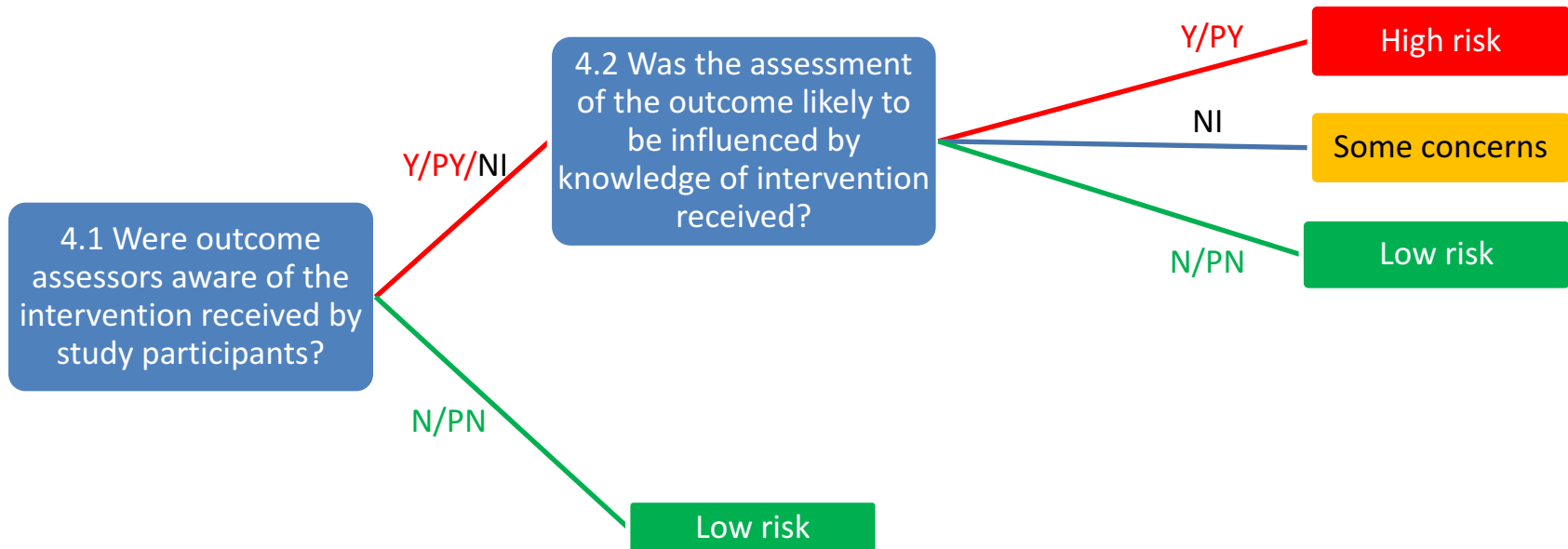
Is your aim for this study...?

- to assess the effect of *assignment to intervention*
- to assess the effect of *starting and adhering to intervention*

Which of the following sources have you obtained to help inform your risk of bias judgements (tick as many as apply)?

- Journal article(s) with results of the trial
- Trial protocol
- Statistical analysis plan (SAP)
- Non-commercial trial registry record (e.g. ClinicalTrials.gov record)
- Company-owned trial registry record (e.g. GSK Clinical Study Register record)
- "Grey literature" (e.g. unpublished thesis)
- Conference abstract(s) about the trial
- Regulatory document (e.g. Clinical Study Report, Drug Approval Package)
- Research ethics application
- Grant database summary (e.g. NIH RePORTER, Research Councils UK Gateway to Research)
- Personal communication with trialist
- Personal communication with the sponsor

Bias arising from the randomization process	1.1 Was the allocation sequence random?	Y / PY / PN / N / NI	[Description]
	1.2 Was the allocation sequence concealed until participants were recruited and assigned to interventions?	Y / PY / PN / N / NI	[Description]
	1.3 Were there baseline imbalances that suggest a problem with the randomization process?	Y / PY / PN / N / NI	[Description]
	Risk of bias judgement	Low / High / Some concerns	[Support]
	Optional: What is the predicted direction of bias arising from the randomization process?		[Rationale]
Bias due to deviations from intended interventions	2.1. Were participants aware of their assigned intervention during the trial?	Y / PY / PN / N / NI	[Description]
	2.2. Were carers and trial personnel aware of participants' assigned intervention during the trial?	Y / PY / PN / N / NI	[Description]
	2.3. <i>If Y/PY/NI to 2.1 or 2.2:</i> Were there deviations from the intended intervention beyond what would be expected in usual practice?	NA / Y / PY / PN / N / NI	[Description]
	2.4. <i>If Y/PY to 2.3:</i> Were these deviations from intended intervention unbalanced between groups and likely to have affected the outcome?	NA / Y / PY / PN / N / NI	[Description]
	2.5 Were any participants analysed in a group different from the one to which they were assigned?	Y / PY / PN / N / NI	[Description]
	2.6 <i>If Y/PY/NI to 2.5:</i> Was there potential for a substantial impact (on the estimated effect of intervention) of analysing participants in the wrong group?	NA / Y / PY / PN / N / NI	[Description]
	Risk of bias judgement	Low / High / Some concerns	[Support]
	Optional: What is the predicted direction of bias due to deviations from intended interventions?		[Rationale]
Bias due to missing outcome data	3.1 Were outcome data available for all, or nearly all, participants randomized?	Y / PY / PN / N / NI	[Description]
	3.2 <i>If N/PN/NI to 3.1:</i> Are the proportions of missing outcome data and reasons for missing outcome data similar across intervention groups?	NA / Y / PY / PN / N / NI	[Description]
	3.3 <i>If N/PN/NI to 3.1:</i> Is there evidence that results were robust to the presence of missing outcome data?	NA / Y / PY / PN / N / NI	[Description]
	Risk of bias judgement	Low / High / Some concerns	[Support]
	Optional: What is the predicted direction of bias due to missing outcome data?		[Rationale]
Bias in measurement of the outcome	4.1 Were outcome assessors aware of the intervention received by study participants?	Y / PY / PN / N / NI	[Description]
	4.2 <i>If Y/PY/NI to 4.1:</i> Was the assessment of the outcome likely to be influenced by knowledge of intervention received?	NA / Y / PY / PN / N / NI	[Description]
	Risk of bias judgement	Low / High / Some concerns	[Support]
	Optional: What is the predicted direction of bias due to measurement of the outcome?		[Rationale]
Bias in selection of the reported result	Are the reported outcome data likely to have been selected, on the basis of the results, from...		
	5.1 ... multiple outcome measurements (e.g. scales, definitions, time points) within the outcome domain?	Y / PY / PN / N / NI	[Description]
	5.2 ... multiple analyses of the data?	Y / PY / PN / N / NI	[Description]
	Risk of bias judgement	Low / High / Some concerns	[Support]
	Optional: What is the predicted direction of bias due to selection of the reported result?		[Rationale]
Overall bias	Risk of bias judgement	Low / High / Some concerns	[Support]
	Optional: What is the overall predicted direction of bias for this outcome?		[Rationale]



Bias arising from the randomization process

-
- Current tool includes two separate domains:
 - sequence generation
 - allocation concealment (both under “*selection bias*”)
 - Both are related to randomization / allocation of participants into treatment arms
 - Failure to implement either process adequately creates opportunities for either the enrolment into the study or the allocation of enrolled participants into groups to be influenced by prognostic factors
 - The end result is the same – unbalanced (biased) distribution of patients between groups (not a fair comparison, ***confounding***)
- It makes sense to combine SG and AC into a single domain

- Evaluation studies of the use of the RoB tool in Cochrane show that reviewers often consider baseline imbalance as “Other bias”
- But this is related to the success of randomization
- It makes sense to include baseline imbalance in the same bias domain
- Indicators that randomization was not performed adequately:
 - unusually large differences between intervention group sizes;
 - a substantial excess in statistically significant differences in baseline characteristics;
 - a substantial excess in clinically important differences in baseline characteristics

1.1 Was the allocation sequence random?

1.2 Was the allocation sequence concealed until participants were recruited and assigned to interventions?

1.3 Were there baseline imbalances that suggest a problem with the randomization process?

**Randomization
methods**

**Additional
evidence of
problems**

Bias due to deviations from intended interventions

- The current tool has very little to say about situations in which **blinding is not feasible**
 - (other than to classify as not blind hence high risk of bias)
- Issues of ***performance bias very different*** for different effects of interest, yet poorly addressed in current RoB tool

- The current tool has very little to say about situations in which **blinding is not feasible**
 - (other than to classify as not blind hence high risk of bias)
- Issues of ***performance bias very different*** for different effects of interest, yet poorly addressed in current RoB tool
- **effect of assignment to intervention**
 - e.g. does referral to physical therapy increase post-operative mobility? (the question of interest to a hospital manager about whether to introduce a referral programme)
- **effect of starting and adhering to intervention**
 - e.g. does attending a physical therapy program increase post-operative mobility? (the question of interest to an individual about whether to attend physical therapy)

- When interested in effect of assignment to intervention
 - Deviations from intended intervention **are not important providing these deviations reflect usual practice**
 - e.g. it is usual practice for some referred patients to not attend physical therapy, or to complete only some sessions
 - this differs to behaviour that reflects expectations of a difference between intervention and comparator
- When interested in effect starting and adhering to intervention
 - Deviations such as poor adherence, poor implementation and co-interventions may **lead to risk of bias**
- We therefore have different tools for these two effects of interest

Effect of assignment to intervention

2.1. Were participants aware of their assigned intervention during the trial?

2.2. Were carers and trial personnel aware of participants' assigned intervention during the trial?

2.3. If Y/PY/NI to 2.1 or 2.2: Were there deviations from the intended intervention beyond what would be expected in usual practice?

2.4. If Y/PY to 2.3: Were these deviations from intended intervention unbalanced between groups *and* likely to have affected the outcome?

2.5. Were any participants analysed in a group different from the one to which they were assigned?

2.6. If Y/PY/NI to 2.5: Was there potential for a substantial impact (on the estimated effect of intervention) of analysing participants in the wrong group?

Blinding

**Deviations
reflect
usual
practice?**

**First
principle of
ITT**

Effect of starting and adhering to intervention

2.1. Were participants aware of their assigned intervention during the trial?

2.2. Were carers and trial personnel aware of participants' assigned intervention during the trial?

2.3. If Y/PY/NI to 2.1 or 2.2: Were important co-interventions balanced across intervention groups?

2.4. Was the intervention implemented successfully?

2.5. Did study participants adhere to the assigned intervention regimen?

2.6. If N/PN/NI to 2.3, 2.4 or 2.5: Was an appropriate analysis used to estimate the effect of starting and adhering to the intervention?

Blinding

**Specific
deviations**

**Overcome by
analysis?**

Bias due to missing outcome data

- When complete outcome data for all participants is not available for your review
 - attrition - loss to follow up, withdrawals, other missing data
 - exclusions – some available data not included in report
- Considerations
 - how much data is missing from each group?
(include numbers in your description)
 - why is it missing?
 - how were the data analysed?

Source: Cochrane Training <http://training.cochrane.org/resource/assessing-risk-bias-included-studies>

3.1. Were outcome data available for all, or nearly all, participants randomized?

Any missing data?

3.2. If N/PN/NI to 3.1: Are the proportions of missing outcome data and reasons for missing outcome data similar across intervention groups?

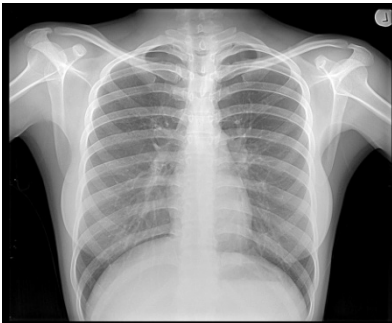
Amount and reasons?

3.3. If N/PN/NI to 3.1: Is there evidence that results were robust to the presence of missing outcome data?

Results robust?

Bias in measurement of the outcome

- Systematic differences between groups in how outcomes are assessed
- Some outcomes are more prone to bias than others
 - Patient-reported outcome (e.g. pain, quality of life)
 - Observer-reported involving judgement (e.g. clinical examination)
 - Observer-reported not involving judgement (e.g. all-cause mortality)



4.1. Were outcome assessors aware of the intervention received by study participants?

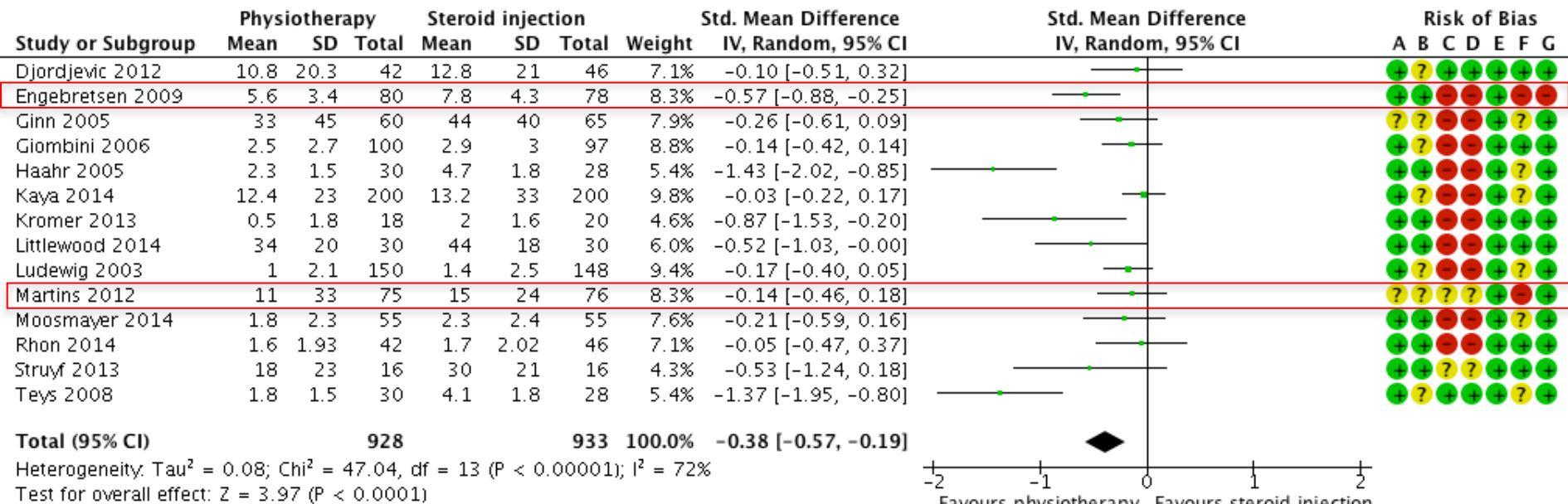
Blinding?

4.2. If Y/PY/NI to 4.1: Was the assessment of the outcome likely to be influenced by knowledge of intervention received?

**Assessment
influenced?**

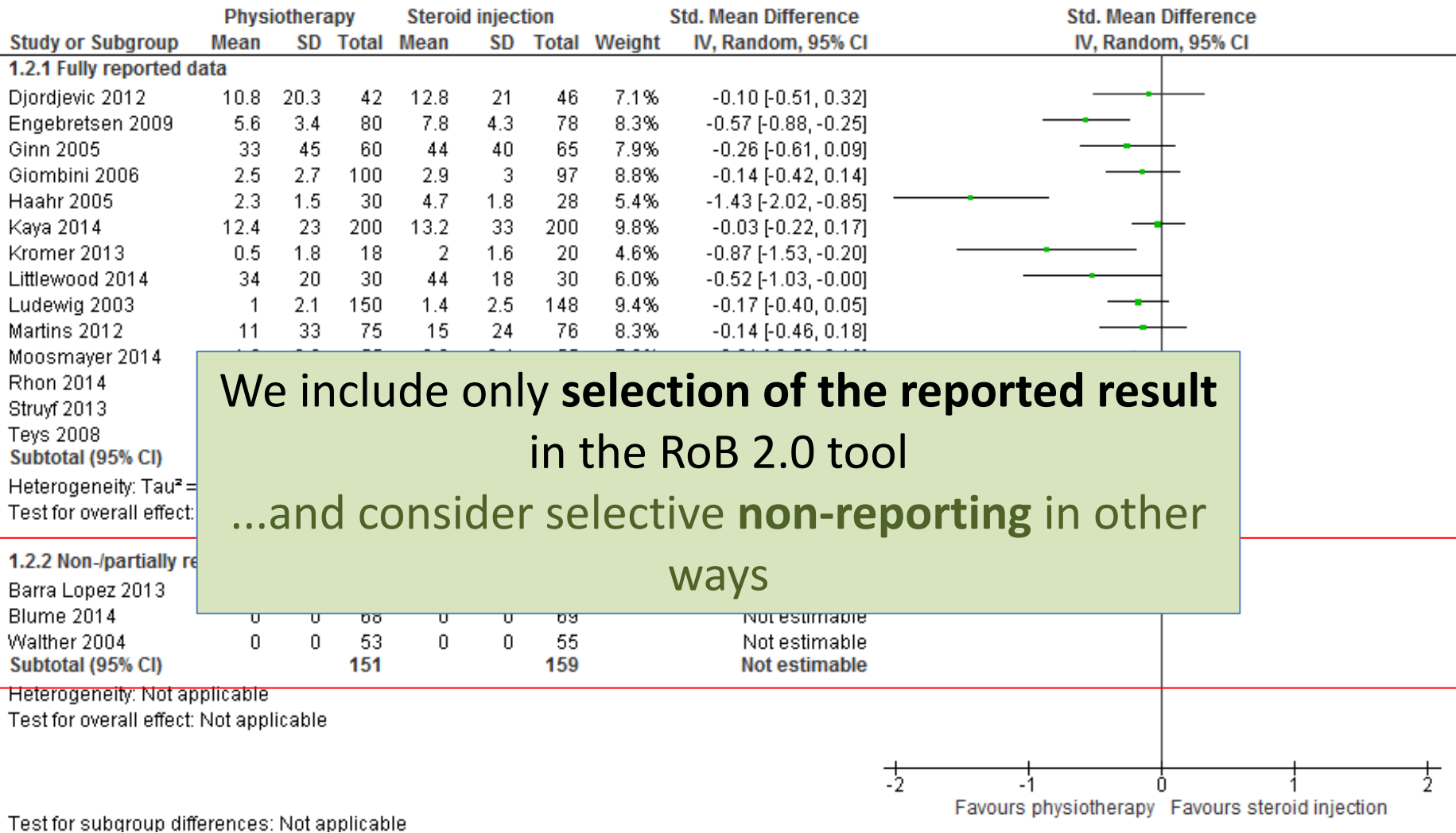
Bias in selection of the reported result

- Current tool takes a broad approach to selective reporting
- Any evidence of it in the trial reports?



Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias): Self-reported outcomes
- (E) Incomplete outcome data (attrition bias)
- (F) Selective reporting (reporting bias)
- (G) Other bias



We include only **selection of the reported result** in the RoB 2.0 tool
 ...and consider **selective non-reporting** in other ways

- Selective non-reporting biases the result of the **meta-analysis** which cannot include the trial that omitted the outcome; it does not bias the trial result
- This is similar to publication bias (non-reporting of a study)

Trial result is biased because it has been selected on the basis of the results from multiple:

- Outcome measurements
 - Scales
 - Definitions of/criteria for an event
 - Time points
- Analyses
 - Unadjusted vs adjusted models
 - Different sets of covariates in adjusted models
 - Final values vs change from baseline vs analysis of covariance
 - Continuous scale converted to categorical data with different cut-points

Are the reported outcome data likely to have been selected, on the basis of the results, from...

5.1. ... multiple outcome measurements (e.g. scales, definitions, time points) within the outcome domain?

Selective outcome reporting

5.2 ... multiple analyses of the data?

Selective analysis reporting

- RoB 2.0 has undergone multiple phases of piloting
 - informed development and refinement
 - more is always welcome
- Formal studies of inter-rater agreement not yet performed
- Full guidance available at riskofbias.info
 - initial draft, subject to minor refinements

- How many results to assess per study?
- How to integrate into data collection process?
- How to present assessments in a review?
- Implementation
 - RoB 2.0 will need careful consideration to make the process efficient for multiple outcomes
 - Discussions initiated with RevMan and Covidence team at Seoul Colloquium

- We believe RoB 2.0 offers considerable advantages over the existing tool
- Once programmed into software, we expect the tool will be easy to use and integrate into the interpretation of results
- We are extremely grateful to all those who have contributed to the development of RoB 2.0
- RoB 2.0 is available at riskofbias.info